



UNITED STATES PATENT AND TRADEMARK OFFICE
(Case No. 01-272-C)

5-T
#3
6-6-02

PATENT

In the Application of:)	
)	
Johnson et al.)	Group Art Unit:
)	
Serial No. 10/025,076)	Examiner:
)	
Filed: December 18, 2001)	
)	
For: Shaft Locking Device for Bearing Assemblies)	

INFORMATION DISCLOSURE STATEMENT

Asst. Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. Sections 1.97 - 1.99, the Applicants wish to make the following references of record in the above-identified application. This Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. Section 1.56. Copies of the references cited below are enclosed. These references are also listed on the enclosed PTO Form 1449.

It is requested that the references be given careful consideration and that they be cited of record in the present application so that they will appear on the face of the patent issuing from the present application. Return of an initialed PTO-1449 indicating the Examiner's consideration of the references is requested.

In the judgment of the undersigned, portions of the references may be material to the examination of the pending claims. However, the references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative importance of any portion of the references. This Statement is not a

representation that the cited references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. Sections 102 or 103. This Information Disclosure Statement shall not be construed as an admission that the statement or cited references are, or are considered to be, material to patentability. 37 C.F.R. Section 1.97(h).

Other Documents

X U.S. Patent No. ~~Ee17,838~~ ~~Gayman~~
✓ U.S. Patent No. ~~1,898,469~~ ~~Tonser~~
✓ U.S. Patent No. ~~2,136,819~~ ~~Large~~
✓ U.S. Patent No. ~~2,168,469~~ ~~Brower~~
✓ U.S. Patent No. ~~2,650,867~~ ~~Spieth~~
✓ U.S. Patent No. ~~3,172,667~~ ~~Baker~~
X ✓ U.S. Patent No. ~~3,276,828~~ ~~Mansfield~~
✓ U.S. Patent No. ~~3,304,140~~ ~~Hornigold~~
✓ U.S. Patent No. ~~3,397,021~~ ~~Fitzsimmons~~
✓ U.S. Patent No. ~~3,428,379~~ ~~Readman~~
✓ U.S. Patent No. ~~3,938,901~~ ~~Howe, Jr.~~
✓ U.S. Patent No. ~~4,575,265~~ ~~Tooley~~
✓ U.S. Patent No. ~~5,863,137~~ ~~Johnson et al.~~
✓ U.S. Patent No. ~~6,036,372~~ ~~Okamoto~~
U.S. Patent No. ~~4,728,202~~ ~~LaRou~~

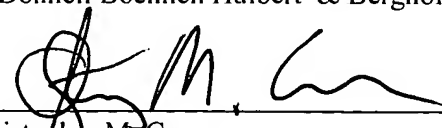
Foreign Patents

~~513,495~~ ~~France~~

Respectfully submitted,
McDonnell Boehnen Hulbert & Berghoff

Date: January 11, 2002

By:


Christopher M. Cavan
Reg. No. 36,475

disregard! *MP*

1449! Not considered because issue date not listed - 1A - Linnard Fosthand 12-2-02